



CORPORATE COMPLIANCE: Contractor warrants that all services provided pursuant to this agreement will conform and comply with all applicable provisions of governing laws, ordinances, rules and regulations. Contractor further warrants that it shall comply with all applicable laws and regulations in the performance of its duties and obligations hereunder. Contractor acknowledges receipt of the County Corporate Compliance Code of Conduct, Whistleblower's Policy and False Claims Act incorporated herein – (*ctrl + click to open the link*).

- a. [Code of Conduct](https://www.sullivanyny.gov/sites/default/files/departments/Compliance/SullivanNYCodeofConduct.pdf)
(<https://www.sullivanyny.gov/sites/default/files/departments/Compliance/SullivanNYCodeofConduct.pdf>)
- b. [Whistleblower's Policy](https://www.sullivanyny.gov/sites/default/files/departments/Compliance/SullivanNYWhistleblowerPolicy.pdf)
(<https://www.sullivanyny.gov/sites/default/files/departments/Compliance/SullivanNYWhistleblowerPolicy.pdf>)
- c. [False Claims Act](https://www.sullivanyny.gov/sites/default/files/departments/Compliance/SullivanNYCorporateComplianceFalseClaimsAct.pdf)
(<https://www.sullivanyny.gov/sites/default/files/departments/Compliance/SullivanNYCorporateComplianceFalseClaimsAct.pdf>)
- d. [Sullivan County Corporate Compliance Training](https://sullivanyny.gov/Departments/Compliance)
(<https://sullivanyny.gov/Departments/Compliance>)

Contractor agrees to abide by the terms of the Corporate Compliance Plan and False Claims Act when delivering services under this Contract and shall ensure that each individual that provides such services under this contract is provided with a copy of, or given access to, the Corporate Compliance Plan and False Claims Act Policy.

Contractor assures the County that it has read the Code of Conduct, Whistleblower's Policy and False Claims Act and shall comply with all of the requirements contained therein. In addition, Contractor shall obtain and maintain in full force and effect during the term of this Agreement all licenses, permits, certificates and accreditations as may be required by law or regulation, if any. All actual and suspected reports of noncompliance shall go through the following reporting mechanisms.

CORPORATE COMPLIANCE CONTINUED:

To report a suspected issue of waste, fraud, or abuse:

- Call the toll-free, Confidential Compliance Hotline at 1-833-955-1559 (Available 24/7/365).
- Submit a written report to either of the following officials:

Christine Panos
Compliance Officer
100 North Street
Monticello, NY 12701
(845) 807-0664
Christine.Panos@sullivanyny.gov

Julie Diescher, Commissioner of Human Resources
100 North Street
Monticello, NY 12701 (845) 807-0485
Julie.Diescher@sullivanyny.gov

Individuals who submit a compliance report will be contacted within two business days. The County will thoroughly and thoughtfully investigate in a timely and appropriate manner compliance issues. Prompt response and corrective action for the detected problem as appropriate are expected.

The County will not employ methods to identify anonymous reporters and will protect the identity of the reporter to the extent allowed by law.

Written reports should include information to enable the appropriate County official to contact the source of the report for additional information and provide a brief statement outlining their concerns, which may be of assistance in investigating any incident reported.

CONFIDENTIALITY: During your contract with the County, you may acquire confidential information about the County, its staff, and customers/patients/clients that must be handled in strict confidence and not discussed with outsiders. The protection of confidential business, staff, and consumer information is very important.